



#20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Lilip Lau et al.

Patent No.: 6,527,789 B1

Serial No.: 09/312,428

Issued: Mar. 4, 2003

For: STENT DELIVERY SYSTEM

Examiner: W. E. Kamm

Group Art Unit: 3762

Docket No.: ACS-50716

Filed: May 14, 1999

Priority Date: Jan. 28, 1991

Date: Mar. 17, 2003

**REQUEST FOR CERTIFICATE OF CORRECTION**

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

The above-identified patent has been found to have the errors set forth in the enclosed Certificate of Correction. It is requested that this Certificate of Correction be issued and returned to us. Since at least one of the errors occurred in the application phase of the patent, the appropriate fee is enclosed. Should the Office determine that any additional fee is required, please charge our account no. 06-2425.

The errors are verifiable in the application file as follows:

Errors

Title page, item (75) Inventors:, at line two, delete the semicolon ";" and the following three inventors.

Application File Location

Request For Corrected Filing Receipt, dated 08/19/02, Also, Response re; Notice To File Missing Parts 06/18/99.

03/26/2003 MBIZUNE2 00000013 6527789

01 FC:1811

100.00 OP

Serial No. 09/312,428  
Atty. Docket: ACS-50716

Errors

Title page, under (57)  
ABSTRACT, line 4, change  
"form", to read --from--.

Column 3, line 62, change  
"rom", to read --from--.

Column 4, line 54 and 57,  
add a period (---) after  
last word of each line.

Column 5, line 19, change  
"outer", to read --inner--.

Column 5, line 21 through 24,  
delete entire sentence begin-  
ning with "The" and ending  
with "sheath."

Column 5, lines 25 through 30,  
delete entire sentence begin-  
ning with "In" and ending with  
"12."

Application File Location

Typographical error,  
amendment dated 06/19/01,  
pg. 4, "ABSTRACT", line 5.

Typographical error, Prelim-  
inary Amendment, pg. 3, line 4.

Preliminary Amendment, pg. 3,  
lines 15,16 and 17,18.

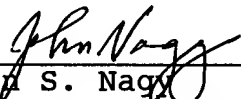
Preliminary Amendment, pg. 4,  
line 3.

Preliminary Amendment, pg. 4,  
lines 4 & 5.

Preliminary Amendment, pg. 4,  
lines 6 & 7.

Respectfully submitted,  
FULWIDER PATTON LEE & UTECHT, LLP

By:

  
\_\_\_\_\_  
John S. Nagy  
Reg. No. 30,664

FULWIDER PATTON LEE & UTECHT, LLP  
HOWARD HUGHES CENTER  
6060 Center Drive, 10th Floor  
Los Angeles, California 90045  
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Facsimile: (310) 824-9696  
Customer No. 24201

Serial No. 09/312,428  
Atty. Docket: ACS-50716



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Lilip Lau et al.

Patent No.: 6,527,789 B1

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Serial No. 09/312,428  
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Typographical error,  
amendment dated 06/19/01,  
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Typographical error, Prelim-  
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Preliminary Amendment, pg. 3,  
lines 15,16 and 17,18.


Preliminary Amendment, pg. 4,  
line 3.

Preliminary Amendment, pg. 4,  
lines 4 & 5.

Preliminary Amendment, pg. 4,  
lines 6 & 7.

Respectfully submitted,  
FULWIDER PATTON LEE & UTECHT, LLP

By:

  
John S. Nagy  
Reg. No. 30,664

FULWIDER PATTON LEE & UTECHT, LLP  
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Group Art Unit: 3762

Docket No.: ACS-50716

Filed: May 14, 1999

Priority Date: Jan. 28. 1991

Date: Mar. 17, 2003

LETTER OF RECORD

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The above-identified patent application file has been found to have the error set forth in this Letter of Record. It is requested that this Letter of Record be filed with the application file wrapper for this patent and that it thereby become a permanent record in that file.

The error in the patent application file is as follows:

ERRORS

The Notice of Allowability states that claims 1-48 and 57-68 are allowed. It should read, claims 36-46 and 57-68 are allowed. An earlier Notice of Allowability also are allowed. This also is in error.

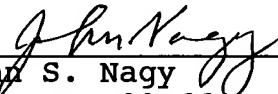
LOCATION

Claims 2-35 were canceled by RESPONSE to Office Action dated 06/19/01, pg. 3 "IN THE CLAIMS". Claim 1 was canceled by amendment dated 05/14/99, pg. 5, "IN THE CLAIMS". Claims 47-55, 56, 69-79 were canceled by amendment dated October 5, 2001, pg. 2 "IN THE CLAIMS"

Serial No. 09/312,428  
Atty. Docket: ACS-50716

A duplicate of this letter is enclosed

Respectfully submitted,  
FULWIDER PATTON LEE & UTECHT, LLP

By:   
John S. Nagy  
Reg. No. 30,664

FULWIDER PATTON LEE & UTECHT, LLP  
HOWARD HUGHES CENTER  
6060 Center Drive, Tenth Floor  
Los Angeles, California 90045  
Telephone: (310) 824-5555  
Facsimile: (310) 824-9696  
Customer No.: 24201

Serial No. 09/312,428  
Atty. Docket: ACS-50716



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application	09/312,428	
	Filing Date	May 14, 1999	
	First Named	Lilip Lau	
	Group Art Unit	3762	
	Examiner Name	W. E. Kamm	
Total Number of Pages in This Submission	4	Attorney Docket Number	ACS 50716

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Letter of Record Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**24201**  
PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP
Signature	
Date	March 17, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <span style="border: 1px solid black; padding: 2px;">March 17, 2003</span>			
Typed or printed name	JOHN S. NAGY		
Signature		Date	March 17, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Car C #  
+ R

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application	09/312,428	
	Filing Date	May 14, 1999	
	First Named	Lilip Lau	
	Group Art Unit	3762	
	Examiner Name	W. E. Kamm	
Total Number of Pages in This Submission	11	Attorney Docket Number	ACS 50716

Certificate  
MAR 31 2003  
of Correction #50

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Request for Certificate of Correction Postcard</div>
Remarks		 24201 PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP
Signature	
Date	March 17, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <span>March 17, 2003</span>			
Typed or printed name	JOHN S. NAGY		
Signature		Date	March 17, 2003

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$100.00

## Complete if Known

Application Number	09/312,428
Filing Date	May 14, 1999
First Named Inventor	Lilip Lau
Examiner Name	W. E. Kamm
Group Art Unit	3762
Attorney Docket No.	ACS 50716

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number

06-2425

Deposit  
Account  
Name

FULWIDER PATTON

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing	
1005	160	2005	80	Provisional filing fee.	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims		Extra Claims		Fee from below		Fee Paid
		-20** =	0	X		0.00
Independent		-3** =	0	X		0.00
Multiple Dependent						

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Request for Certificate of Correction

100.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$100.00

## SUBMITTED BY

Name	JOHN S. NAGY	Registration No. (Attorney/Agent)	30,664	Telephone	(310) 824-5555
Signature		Date	March 17, 2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,527,789 B1  
DATED : March 4, 2003  
INVENTOR(S) : Lilip Lau et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page,

Item [75], Inventors, after 2nd inventor's residence, delete the semicolon “;” and the following three inventors.

Item [57], **ABSTRACT**,

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Column 3,

Line 62, change “rom”, to read -- from --.

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Signed and Sealed this

Twenty-second Day of July, 2003

A handwritten signature in black ink, appearing to read "James E. Rogan", with a long horizontal stroke underneath.

JAMES E. ROGAN  
*Director of the United States Patent and Trademark Office*